

Substitute for form 1449A/PTO

Complete if Known
**INFORMATION DISCLOSURE
STATEMENT BY APPLICANT**

(use as many sheets as necessary)

Application Number	unassigned
Filing Date	April 30, 2001
First Named Inventor	Nisha D. Talagala
Group Art Unit	unassigned
Examiner Name	unassigned

Sheet

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of

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Attorney Docket Number

P5533

J10024846501 PTO
04/30/01**U.S. PATENT DOCUMENTS**

Examiner Initials*	Cite No. ¹	U.S. Patent Document		Name of Patentee or Applicant of Cited Document	Date of Publication of cited Document MM-DD-YYYY	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number	Kind Code ² (if known)			
JCC	A	6,098,190		Rust et al.	August 1, 2000	
	B	5,953,352		Meyer	September 14, 1999	
	C	5,859,965		Gittins et al.	January 12, 1999	
	D	5,469,453		Glider et al.	November 21, 1995	
	E	4,949,036		Bezinque et al.	August 14, 1990	
	F	4,888,691		George et al.	December 19, 1989	
JCC	G	4,630,269		Gershenson et al.	December 16, 1986	

FOREIGN PATENT DOCUMENTS

Examiner Initials*	Cite No. ¹	Foreign Patent Document			Name of Patentee or Applicant of Cited Document	Date of Publication of cited Document MM-DD-YYYY	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T ⁶
		Office ³	Number ⁴	Kind Code ⁵ (if known)				

OTHER PRIOR ART - NON PATENT LITERATURE DOCUMENTS

Examiner Initials*	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date page(s), volume-issue number(s), publisher, city and/or country where published.	T ²
JCC	H	"Quantum Smart System," Quantum.com, S.M.A.R.T. Advanced Drive Diagnostics White Paper, www.quantum.com/src/whitepapers/wp_smart_toc.htm , pgs. 1-6, printed January 26, 2001	
	I	"IBM Drive Fitness Test," IBM, pgs. 1-7, August 1999.	
	J	"Leveraging the Benefits of Ultra160 SCSI Technology," pgs. 1-6, November 1999.	
JCC	K	"Predictive Failure Analysis," IBM Hard Disk Drives/Library/Predictive Failure Analysis, www.storage.ibm.com/oem/tech/pfa.htm , pgs. 1-4, printed April 24, 2001.	

Examiner Signature	<i>J. D. Talagala</i>	Date Considered	3/30/04
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(1449A/PTO Modified)

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

¹Unique citation designation number. ²Applicant is to place a check mark here if English language Translation is attached.

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CIR JUN 09 2003 PARENT & TRADEMARK OFFICE INFORMATION DISCLOSURE CITATION IN AN APPLICATION (Use several sheets if necessary)								Docket Number P5533 US	Application Number 09/846,801		
								Applicant N.D. Talagala			
								Filing Date April 30, 2001	Group Art Unit 2184		
U.S. PATENT DOCUMENTS											
EXAMINER INITIAL	DOCUMENT NUMBER						DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
	4	6	3	0	2	6	9	12/16/86	Gershenson et al.		
	4	8	8	8	6	9	1	12/19/89	George et al.		
	4	9	4	9	0	3	6	08/14/90	Bezinque et al		
	5	4	0	9	4	5	3	11/21/95	Glider et al.		
	5	8	5	9	9	6	5	01/12/99	Gittins et al.		
	5	9	5	3	3	5	2	09/14/99	Meyer		
	6	0	9	8	1	9	0	08/01/00	Rust et al.		
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FOREIGN PATENT DOCUMENTS											
	DOCUMENT NUMBER					DATE	COUNTRY	CLASS	SUBCLASS	Translation YES NO	
OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)											
	IBM, Corp. "Predictive Failure Analysis," pp. 1-4 [online] Available from http://www.storage.ibm.com/oem/tech/pfa.htm										
	IBM Corp. "Leveraging the Benefits of Ultra160 SCSI Technology," pp. 1-6 [online] Available from http://www.ibm.com/harddrive										
	IBM Corp. "IBM Drive Fitness Test: A Hard Drive Verification Tool for End Users and OEM System Manufacturers," pp. 1-7 [online] Available from http://www.ibm.com/harddrive										
	"Quantum Smart System," pp. 1-6 [online] Available from http://www.quantum.com/src/whitepapers/wp_smart_toc.htm										
EXAMINER	<i>Hoylen</i>		DATE CONSIDERED		<i>Already submitted paper no. 3</i>						
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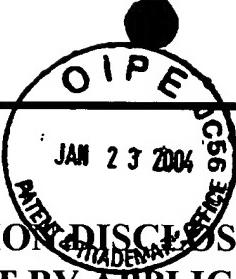
Form 1449/PTO		 INFORMATION DISCLOSURE STATEMENT BY APPLICANT (use as many sheets as necessary)		<i>Complete if Known</i>	
				Application Number	09/846,801
				Filing Date	April 30, 2001
				First Named Inventor	N.D. Talagala
				Art Unit	2184
				Examiner Name	Robert W. Beausoliel, Jr.
Page	1	of	2	Attorney Docket Number	

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FOREIGN PATENT DOCUMENTS						
Examiner Initials	Cite No.	Foreign Patent Document	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T
		Country Code- Number- Kind Code				
RC		EP 0 722 141	07-17-1996	IBM		
RC		EP 1 026 683	08-09-2000	Hewlett-Packard		



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OTHER PRIOR ART – NON PATENT LITERATURE DOCUMENTS

Examiner Initials	Cite No.	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published	T
<i>JL</i>		PCT International Search Report for International Application No. PCT/US02/13552 filed on April 29, 2003.	
<i>JL</i>		PCT Written Opinion for International Application No. PCT/US02/13552 filed on April 29, 2003.	
<i>JL</i>		PCT International Preliminary Examination Report for International Application No. PCT/US02/13552 filed on April 29, 2003.	

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